Document Version: 1.2



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Revision History

VERSION	DATE	CHANGES
1.0	10-06-2023	Initial Release
1.1	12-15-2023	ECR comments addressed
1.2	02-01-2024	Minor update

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Common Criteria Configuration Instructions

Follow the instructions in this document to install Ivanti connect secure, and to make the configuration changes required after installation to bring the system into "Common Criteria mode". This document is a guide to the Ivanti connect secure implementation of the Common Criteria Network Device Protection Profile v2.2e (NDCPP).

1. Introduction

1.1. Audience

This document is written for administrators configuring the TOE, specifically the Ivanti Connect Secure. To use this guide, you need a broad understanding of networks in general and the internet in particular, networking principles, and network configuration. All the Sections in the Document is written in a sequence based on what all steps administrator has to do when received a brand new Ivanti Connect Secure Device.

1.2. Purpose

This document details the operational and preparative procedures for the Common Criteria evaluation. It highlights the specific TOE configuration and administration functions and interfaces that are necessary to configure and maintain the TOE in the evaluated configuration as defined in the Security Target [Ivanti Connect Secure 22.2 Security Target]. This document does not mandate configuration settings for the features of the TOE that are outside the evaluation scope.

1.3. Supported TOE Platforms

The following tables describe the appliance hardware that are included in the evaluated configuration.

PLATFORM	VERSION/MODEL NUMBER
Virtual appliance hardware Platform (ISA-V)	VMware ESXi 6.7 with Dell PowerEdge R640
ISA appliances	ISA-6000, ISA-8000C, ISA-8000F

The Ivanti Connect Secure software runs on any one of the TOE hardware platforms. The platforms provide different amounts of processing power and network connectivity options as described below.

Hardware Details		
Model	Processor	
ISA-6000	Intel Core i3 10100E 10th gen (Comet Lake)	
ISA-8000C	Intel Xeon Gold 5317 (Ice Lake)	
ISA-8000F	Intel Xeon Gold 5317 (Ice Lake)	

1.4. Operational Environment

The TOE supports the following hardware and software components in its operational environment. Each component is identified as being required or not based on the claims made in the Security target [Ivanti Connect Secure 22.2 Security Target].

- 1. Management laptop with web browser for TLS Client (Web Admin Interface)
 - Workstation providing local console access to the TOE
 - Provides remoted management of TOE
 - Microsoft Edge 101, Google Chrome 102, or Firefox 100
 - Supporting TLSv1.1 and/or TLSv1.2
 - Supporting Client Certificate authentication

Supporting at least one of the following ciphersuites:

- TLS RSA WITH AES 128 CBC SHA
- TLS_RSA_WITH_AES_256_CBC_SHA
- TLS_ECDHE_RSA_WITH_AES_128_CBC_SHA
- TLS ECDHE RSA WITH AES 256 CBC SHA
- TLS_ECDHE_ECDSA_WITH_AES_128_CBC_SHA
- TLS ECDHE ECDSA WITH AES 256 CBC SHA
- TLS_RSA_WITH_AES_128_CBC_SHA256
- TLS_RSA_WITH_AES_256_CBC_ SHA256
- TLS ECDHE ECDSA WITH AES 128 CBC SHA256
- TLS_ECDHE_ECDSA_WITH_AES_256_CBC_SHA384
- TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256
- TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384
- TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256
- TLS_ECDHE_RSA_WITH_AES_256_GCM_SHA384

Syslog server

- The syslog audit server is used for remote storage of audit records that have been generated by and transmitted from the TOE.
- Conformant with RFC 5424 (Syslog Protocol)
- Supporting Syslog over TLS (RFC 5425)
- Acting as a TLSv1.1 and/or TLSv1.2 server
- Supporting Client Certificate authentication
- Supporting at least one of the following cipher suites:
 - TLS_RSA_WITH_AES_128_CBC_SHA
 - TLS RSA WITH AES 256 CBC SHA
 - TLS ECDHE RSA WITH AES 128 CBC SHA
 - TLS ECDHE RSA WITH AES 256 CBC SHA
 - TLS_ECDHE_ECDSA_WITH_AES_128_CBC_SHA
 - TLS_ECDHE_ECDSA_WITH_AES_256_CBC_SHA
 - TLS RSA WITH AES 128 CBC SHA256

- TLS RSA WITH AES 256 CBC SHA256
- TLS ECDHE ECDSA WITH AES 128 CBC SHA256
- TLS ECDHE ECDSA WITH AES 256 CBC SHA384
- TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256
- TLS ECDHE ECDSA WITH AES 256 GCM SHA384
- TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256
- TLS_ECDHE_RSA_WITH_AES_256_GCM_SHA384
- 3. The TOE supports communications with an external CRL to verify client certificates. CRL Server is required to be conformant with RFC 5280.
- 4. DNS Server

The DNS Server is used for resolving hostnames.

o Conformant with RFC 1035.

2. Commissioning the Appliances

2.1. Secure Acceptance of the TOE

In order to ensure the correct TOE is received, the TOE should be examined to ensure that it is not with tampered during delivery.

Verify that the TOE software and hardware were not tampered with during delivery by performing the following actions:

- Before unpacking the TOE, inspect the physical packaging the equipment was delivered in. Verify
 that the external cardboard packing is printed with the Ivanti logo and motifs. If it is not, contact
 the supplier of the equipment (Ivanti or an authorized Ivanti distributor / partner).
- Verify that the packaging had not obviously been opened and resealed by examining the tape that seals the package. If the package appears to have been resealed, contact the supplier of the equipment (Ivanti or an authorized Ivanti distributor / partner).
- Verify that the box has a white tamper-resistant, tamper-evident Ivanti Secure car coded label applied to the external cardboard box. If it does not, contact the supplier of the equipment (Ivanti or an authorized Ivanti distributor / partner). This label will include the Ivanti product number, serial number, and other information regarding the contents of the box.
- Note the serial number of the TOE on the shipping documentation. The serial number displayed
 on the white label affixed to the outer box will be that of the device. Verify the serial number on
 the shipping documentation matches the serial number on the separately mailed invoice for the
 equipment. If it does not, contact the supplier of the equipment (Ivanti or an authorized Ivanti
 distributor / partner).
- Verify that the box was indeed shipped from the expected supplier of the equipment (Ivanti or an authorized Ivanti distributor / partner). This can be done by verifying with the supplier that they shipped the box with the courier company that delivered the box and that the consignment note number for the shipment matches that used on the delivery. Also verify that the serial number of the item shipped match the serial numbers of the items delivered. This verification should be performed by some mechanism that was not involved in the actual equipment delivery, for example, phone/FAX or other online tracking services.
- Once the TOE is unpacked, inspect the unit. Verify that the serial number displayed on the unit

itself matches the serial number on the shipping documentation and the invoice. If it does not, contact the supplier of the equipment (Ivanti or an authorized Ivanti distributor / partner).

2.2. Physical Installation

Hardware setup can be found in each platform's Hardware Guide. These hardware guides contain preparative procedures specific to each of the TOE platforms, such as environmental requirements and system measurements, to securely install the TOE in the operational environment. These hardware guides are written specifically for each platform and versioned by year and month. The hardware guides are reviewed quarterly and updated if the needs arise.

2.3. Initial Setup Through Serial Console

- 1. Plug a null modem crossover cable from a console terminal or laptop into the device serial port. This cable is provided in the product box. Do not use a straight serial cable.
- 2. Configure a terminal emulation utility, such as HyperTerminal, with the following serial connection parameters:
 - a) 9600 bits per second
 - b) 8-bit No Parity (8N1)
 - c) 1 Stop Bit
 - d) No flow control
- 3. Press Enter until the serial console is displayed
- 4. On the serial console, the following text is shown:

Please choose from among the following factory-reset personality images:

- [1] Ivanti Connect Secure < release version>
- 5. Enter **1** to install the Ivanti Connect Secure package. The system will start Installation and reboot. The process may take a few minutes.
- 6. Enter **y** to accept the license terms (or enter **r** to read the license first).
- 7. Follow the directions in the console, and enter the machine information for which you are prompted:
 - a) Configure internal port
 - i) IP address
 - ii) Network mask
 - iii) Default gateway address
 - b) Configure DNS
 - i) Primary DNS server address
 - ii) Secondary DNS server address (optional)
 - iii) Default DNS domain name (for example, acmegizmo.com)
 - c) WINS server name or address (optional)
 - i) Enter to go to next step
 - d) Configured network setting is displayed for you to review. Enter y to accept or n to modify.
 - e) Configure the administrator
 - i) Administrator username Enter an administrator username. This will create an administrator user account with all the necessary privileges. This username and

- password will be used thereafter through the web console interface for administrator management functions.
- ii) Administrator password Must adhere to the password complexity requirements. See <Administrator Passwords> section for password requirements and recommendations.
- f) Enter information to create a self-signed certificate
 - i) Common machine name (for example, connect.acmegizmo.com)
 - ii) Organization name (for example, Acme Gizmo, Inc.)
 - iii) Enter random text (used for auth certificate)

2.4. Connect Administrator Web Console

The Administrator Web Console is available after the initial setup through the serial console:

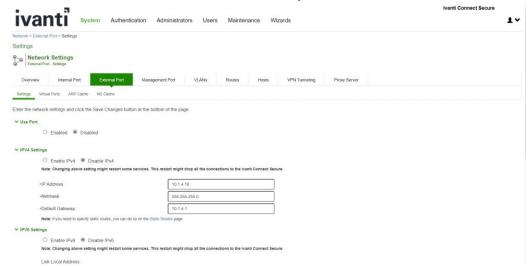
- 1. Launch a web browser from a laptop that is network connected.
- 2. Point the browser at the same IP address that was assigned to the internal port followed by /admin (for example, https://a.b.c.d/admin).
- 3. When prompted with the security alert to proceed without a signed certificate, click **Yes**. When the administrator sign-in page appears, you have successfully connected your device to the network.
- 4. On the sign-in page, enter the administrator username and password you created earlier. Then click **Sign In.**
- 5. The Administrator Web Console opens to the **System > Status > Overview** page.

2.5. Configuring External, Management Interfaces/Ports

2.5.1. Configure external port

On admin web console,

- Navigate to System > Network > External Port > Settings
- Click on Enabled
- Enter IP address, Netmask, and Default Gateway



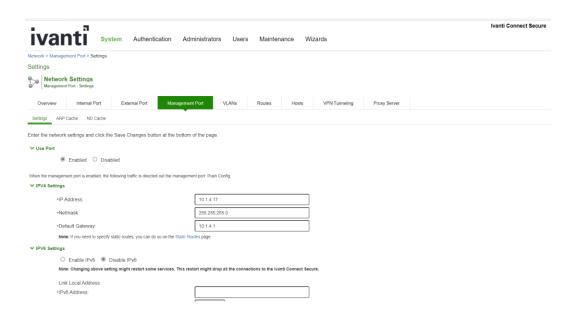
2.5.2. Configure management port

On the supported platforms that management port is available, you may also configure a management port to use it for communication with syslog server.

To configure management port, on Administrator Web Console,

- 1. Navigate to System > Network > Management Port > Settings
- 2. Click on Enabled

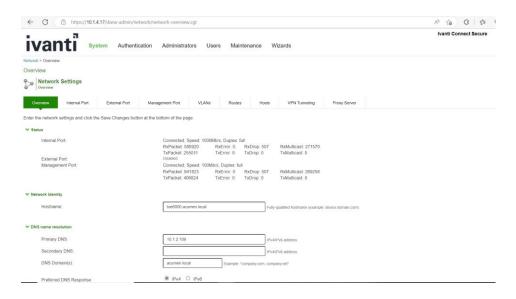
3. Enter IP address, Netmask, and Default Gateway



2.6. Configuring DNS Server

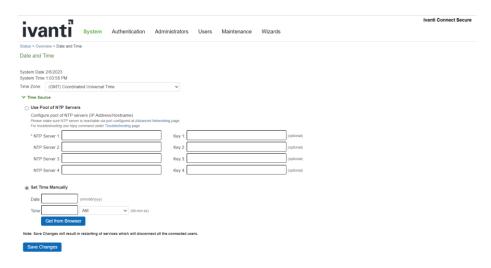
On Administrator Web Console,

- 1. Navigate to System > Network > Overview
- 2. Enter IP address for **Primary DNS**, and DNS Domain
- 3. Secondary DNS is an optional field



2.7. Set System time

- 1. Go to System > Status > Overview page.
- 2. Click on the Edit link next to System Date & Time.
- 3. On the Date and Time page:
 - a. In the **Set Time Manually** section, click on **Get from Browser** button.
 - b. Click on Save Changes button

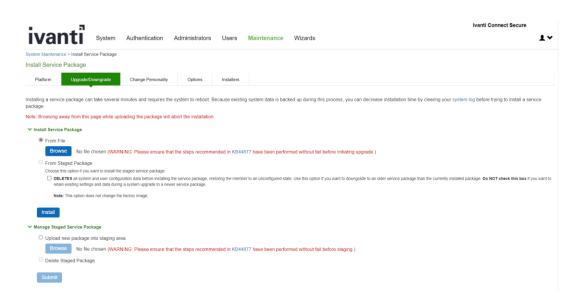


2.8. Software updates

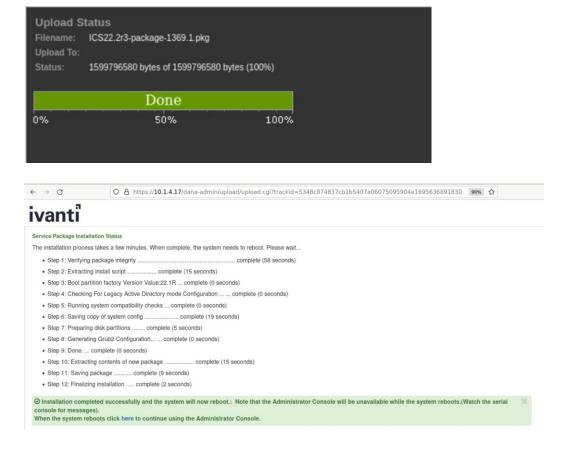
If a new NDcPP-compliant software package is available, follow the instructions in this section to update the software package on the TOE. The verification of the authenticity of the software package is performed by digital signature verification.

- 1. Download the TOE software package received from Ivanti to a trusted computer system
- 2. On Administrator Web Console
- 3. Navigate to Maintenance > System > Upgrade/Downgrade
- 4. In the expanded **Install Server Package** section, click on **From File** option, then click on **Browse** to select the server package downloaded earlier

5. Click **Install** to start the installation process



6. Once package is uploaded, integrity check is done system validates the image, installation is completed.



Note: The administrator Console (Web UI) will be unavailable while the system reboots. The Serial console will be available to check the logs/messages. When the system reboot is completed

administrator console (Web UI) will be available for use.

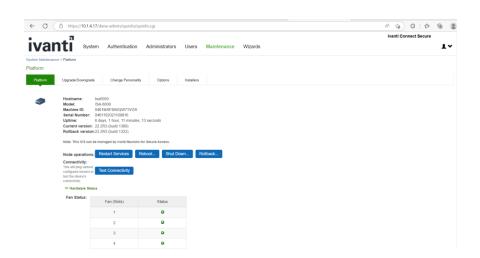
When the Security Administrator uploads a firmware update, the TSF performs an RSA 2048 SHA-256 digital signature verification of the update using the Ivanti Secure firmware update public key. The public key is distributed as part of the firmware package. Ivanti Secure retains control over the private key used to sign firmware updates. If the signature check is successful, the TSF installs the update.

If the signature check detects tampering with the update and/or signature, the TSF presents the user with an error message and discards the update.

2.9. Software Version Verification

2.9.1. Version verification via GUI

The current software version can be found on the web console. The following feature is used, Navigate to Maintenance > Platform



2.9.2. Version verification via local console

The current software version can be found on the local console.

The version details will be displayed once the user login to the local console.

3. TOE configuration

3.1. Prerequisites for TOE Configuration

- You've configured the TOE as per the instructions in Commissioning the Appliance.
- External DNS Server should be able to resolve the hostnames used in the testing
- External Syslog server is up and running.
- External CRL is up and running.

3.2. System Reboot

The Ivanti connect secure appliance can be restarted from the Web Management interface or console. To restart the appliance

- 1. From the Web Management interface
 - Navigate to Maintenance > System > Platform and click on Reboot
- From the Administrator console Select option 1 to reboot the device

```
Please choose the operation to perform:

1. Reboot this Ivanti Connect Secure
2. Shutdown this Ivanti Connect Secure
3. Restart services at this Ivanti Connect Secure
4. Rollback this Ivanti Connect Secure
5. Factory reset this Ivanti Connect Secure
6. Clear all configuration data at this Ivanti Connect Secure
7. Install self-signed certificate
21. Clear all session data at this Ivanti Connect Secure
22. Clear all Named Users at this Ivanti Connect Secure
24. Clear behavioral analytics database
31. Clean up diskspace on this Ivanti Connect Secure
93. Toggle resource throttling (Enabled)
94. Clear custom HTTP headers
<return to go back to main menu>
Choice: 1

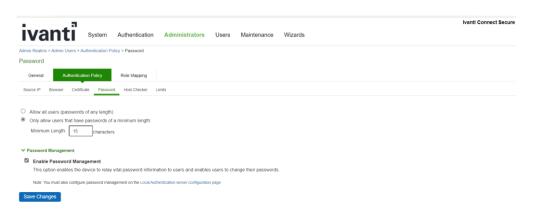
Are you sure you want to reboot this Ivanti Connect Secure? (y/n) y
Doing reboot.
Reboot action message: user initiated, via serial console
```

3.3. Password Minimum Length Configuration

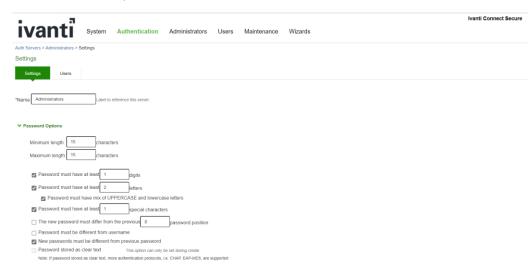
On Administrator Web Console, follow the below instruction to set the administrator minimum password length to 15.

- 1. Set in Admin Realm:
 - a. Navigate to Administrators > Admin Realms
 - b. Click on Admin Users
 - c. Click on Authentication Policy tab
 - d. Click on Password tab
 - e. Click on Only allow users that have passwords of a minimum length

f. Enter 15 as Minimum Length



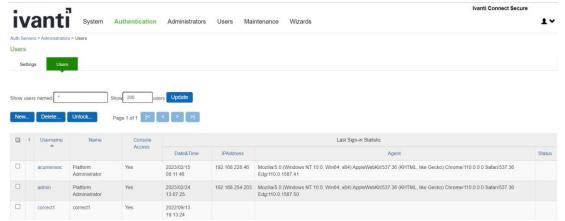
- 2. Set in local auth server configuration:
 - a. Navigate to Authentication -> Auth. Servers
 - b. Click on Administrators
 - c. On Settings tab, click on Password Options section
 - d. Configure 15 characters as Minimum Length
 - e. Configure Maximum Length 15 characters.
- 3. Review all previously configured administrator passwords, update to ensure all are at least 15 characters.



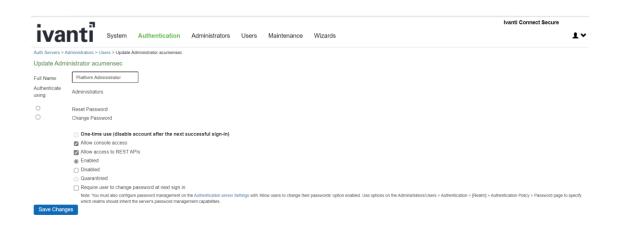
3.4. Reset Password

On Administrator Web Console, follow the below instruction to reset the password.

Navigate to Auth Servers > Administrators > Users > Update Administrator admin



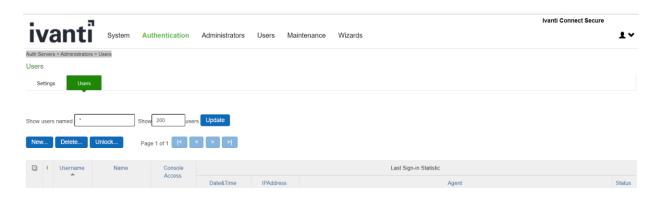
Select the username and click on reset password and click on save changes



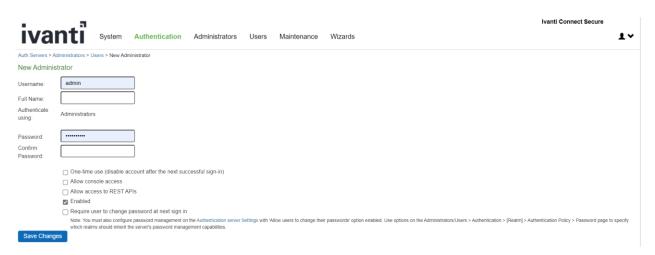
3.5. User Creation

3.5.1. User Creation via GUI

1. Go to Auth Servers > Administrators > Users and click on New



2. Create Username and Password, click on Save Changes



3.5.2. User Creation via Console

Login into the console

1. Select the option 2. Create admin username and password

```
Press <Enter> to view or update your appliance settings.
This is notice and consent warning message. Authorized users only.
Please input an administrator username and password.
Admin username: admin
Password:
Current version: 22.2R3 (build 1433)
Rollback version: 22.2R3 (build 1369)
Reset version: 22.1R1 (build 421) Ivanti Connect Secure
               22.1R1 (build 211) Ivanti Policy Secure
Licensing Hardware ID: 0482M56LE04P00PQS
Serial Number: 0482032022100249
Please choose from among the following options:
   1. Network Settings and Tools
   2. Create admin username and password
   3. Display log/status
   4. System Operations
   5. Toggle password protection for the console (On)
   6. Create a Super Admin session
   7. System Maintenance
   8. Turn off NDcPP Mode and reset allowed encryption strength for SSL
   11. Exit Serial Console Session
Choice: 2
Please create an administrator username and password.
Admin username:
```

2. Mention username and password you want to create

```
Choice: 2

Please create an administrator username and password.

Admin username: testadmin1

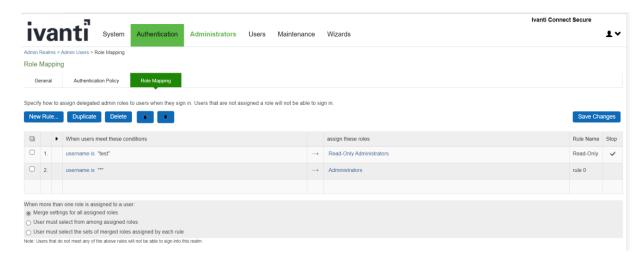
Password:
Confirm password:
Do you want to enable REST API access for this administrator (y/n): y

The administrator testadmin1 was successfully created.
```

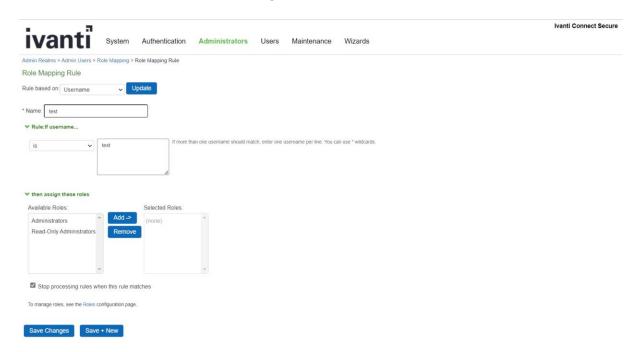
3.6. Role Mapping

Assign privilege level to users using role mapping.

1. Go to Admin Realms > Admin Users > Role Mapping and click on New Rule



- The TSF protects audit data from unauthorized modification and deletion though the restrictive administrative interfaces.
- Read-Only administrators have lower privilege level and cannot modify or delete security related parameters or trust store. Administrators have high privilege level and have rights to modify configuration on device.
- 2. Create a rule based on Username and assign role to Username



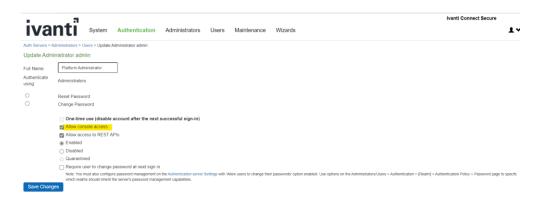
3.7. Serial Console Access Control Configuration

Configure administrator access control for the local serial console is a two-step process.

Step1, Enable allow console access for the administrator.

In Administrator Web Console,

- 1. Go to Authentication > Auth. Servers
- 2. Select Administrators
- 3. Click on Users tab
- 4. Click on administrator name configured in <u>Initial Setup Through Serial Console</u>
- 5. Click on Allow console access checkbox
- 6. Click on Save Changes



Step2, Enable password protection for the console.

- 1. Connect to the local serial console, the serial console menu is shown as below.
- 2. Choose option **5** on the local serial console. You should see a confirmation: "Password protection enabled, make sure you have at least one local administrator".

This interface is only available to administrators of the TOE. The authentication prevents non-administrative users from gaining access to the TSF-data-manipulating functions.

3.8. Logging into the Console

After authentication is configured, the user is presented with an authentication prompt when accessing the local console.

```
This is notice and consent warning message. Authorized users only.

Please input an administrator username and password.

Admin username: admin

Password:
```

The following options are available from the console.

```
Please choose from among the following options:

1. Network Settings and Tools
2. Create admin username and password
3. Display log/status
4. System Operations
5. Toggle password protection for the console (On)
6. Create a Super Admin session
7. System Maintenance
8. Turn off NDCPP Mode and reset allowed encryption strength for SSL
11. Exit Serial Console Session
Choice:
```

No preparatory steps are required to ensure that authentication data is not revealed while entering the credentials. The TOE does not provide any feedback while entering the password at both the

directly connected and remote login prompt.

3.9. Terminating a Local Console Session

To exit a console session, choose option 11 on the local serial console.

```
Please choose from among the following options:

1. Network Settings and Tools
2. Create admin username and password
3. Display log/status
4. System Operations
5. Toggle password protection for the console (On)
6. Create a Super Admin session
7. System Maintenance
8. Turn off NDcPP Mode and reset allowed encryption strength for SSL
11. Exit Serial Console Session
Choice:
```

3.10. Administrative Banner Configuration

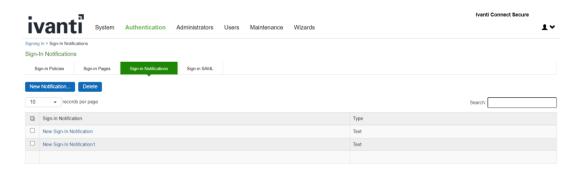
Configure administrator banner for the Administrator Web Console and the local serial console is a two- step process.

Step1, create a Sign-in notification. On Administrator Web Console:

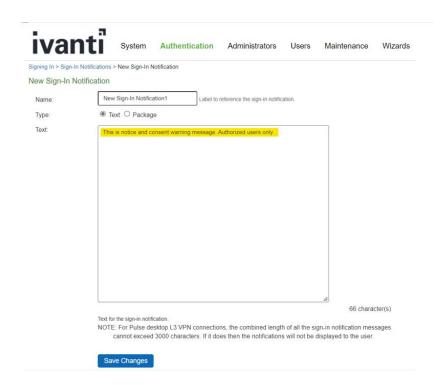
1. Navigate to Authentication -> Signing In -> Sign-in Notifications



2. This screen is shown



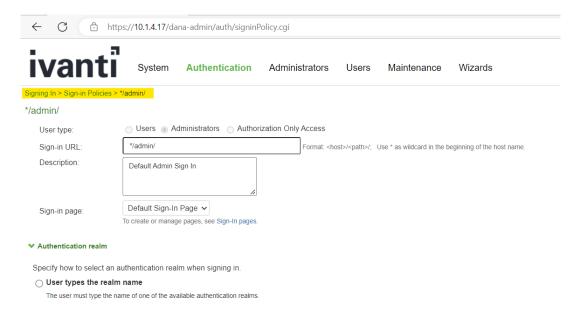
3. Click on New Notification



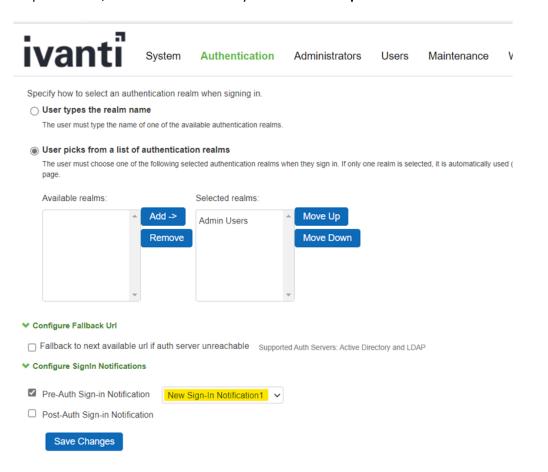
- 4. Enter a name for the new notification in the Name:
- 5. In **Type:** select **Text**
- 6. Enter banner message in the **Text:**
- 7. Click on Save Changes

Step 2, associate the notification with an admin URL. On Administrator Web Console,

- 1. Navigate to Authentication -> Signing In -> Sign-In Policies
- 2. Click on admin URL */admin/
- 3. In the **Configure SignIn Notifications** section, select the check box **Pre-Auth Sign-in Notification**.



4. A drop-down box appears next to **Pre-Auth Sign-in Notification** once it is selected, in the drop down box, select the notification you created in **Step 1** above.



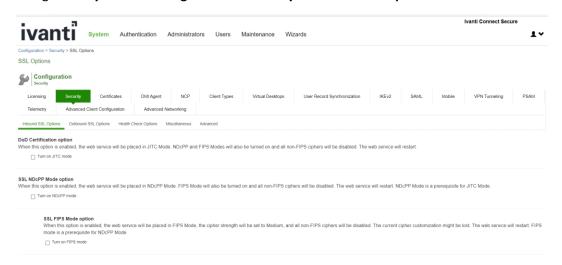
5. Click on Save Changes

The banner configured within the GUI will be applied to both local and remote connections.

3.11. Enable NDcPP mode

On Administrator Web Console,

1. Navigate to System -> Configuration > Security > Inbound SSL Options



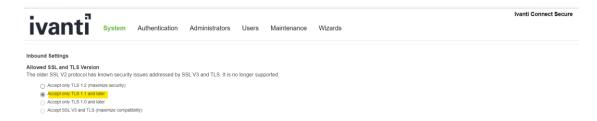
2. Click on Turn on NDcPP mode checkbox to make the TOE common criteria compliant



3. Once **Turn on NDcPP mode** is enabled, **Turn on FIPS mode** is also automatically enabled.

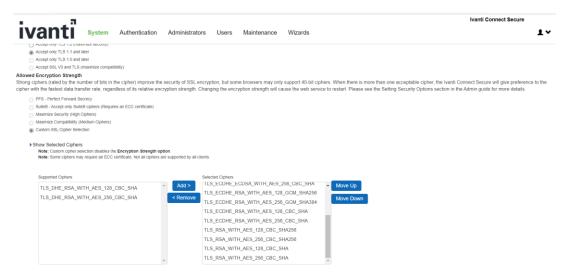


4. Once NDcPP mode is selected, Accept only TLS 1.1 and later is selected by default.



If the TSF receives a ClientHello message that requests TLSv1.0 or earlier, the TSF sends a fatal handshake_failure message and terminates the connection.

5. Custom SSL Cipher Selection Allowed Encryption Strength are automatically selected. Click on displays below 16 Ciphers in the right panel labelled **Selected Cipher**. **Show Selected Ciphers**



Select TLS_DHE_RSA_WITH_AES_128_CBC_SHA and TLS_DHE_RSA_WITH_AES_256_CBC_SHA on the right panel, and click "Remove" button to remove it from the "Selected Ciphers".

When the TSF selects an ECDHE ciphersuite, it sends the client secp256r1 or secp384r1 key agreement parameters.

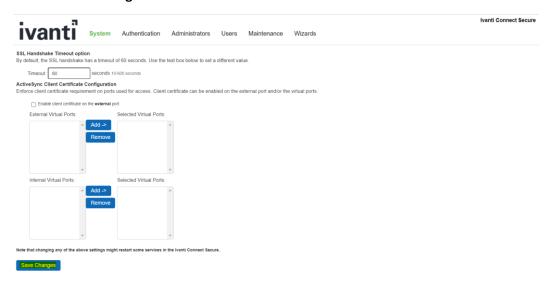
6. Enable Use 2048 bit Diffie-Hellman key exchange checkbox



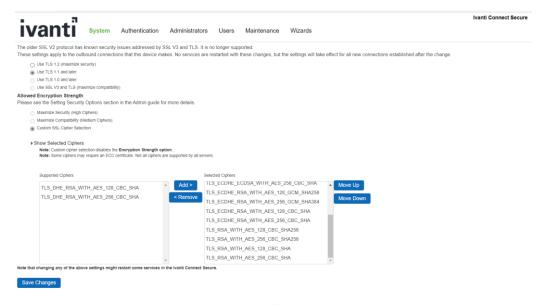
7. Uncheck SSL Legacy Renegotiation Support option



8. Click on Save Changes



- 9. Navigate to System > Configuration > Security > outbound SSL Options
 - a. Custom SSL Cipher Selection Allowed Encryption Strength are automatically selected. Click on **Show Selected Ciphers** displays below 16 Ciphers in the right panel labelled **Selected Cipher**.
 - b. Select TLS_DHE_RSA_WITH_AES_128_CBC_SHA and TLS_DHE_RSA_WITH_AES_256_CBC_SHA on the right panel, and click "Remove" button to remove it from the "Selected Ciphers".



 Optionally, you may check below log to confirm NDcPP mode is enabled Navigate to System > Log/Monitoring > Admin Access > Logs and Check for the logs mentioned in Audit logs section NDcPP mode enabled

In NDcPP mode, the RNG is not configurable and there are no instances when key destruction could be delayed.

3.12. Disable NDcPP mode via the Console

NDcPP mode may also be configured via the console. On the initial console screen, press 8

```
This is notice and consent warning message. Authorized users only.

Please input an administrator username and password.

Admin username: admin

Password:

Current version: 22.2R3 (build 1369)

Rollback version: 22.2R3 (build 1323)

Reset version: 22.1R1 (build 421) Ivanti Connect Secure

22.1R1 (build 211) Ivanti Policy Secure

Licensing Hardware ID: 0461MAF8AOQW71VGS

Serial Number: 0461102021109916

Please choose from among the following options:

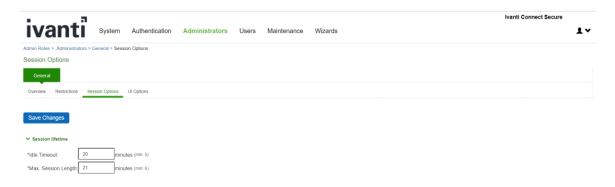
1. Network Settings and Tools
2. Create admin username and password
3. Display log/status
4. System Operations
5. Toggle password protection for the console (On)
6. Create a Super Admin session
7. System Maintenance
8. Turn off NDcPP Mode and reset allowed encryption strength for SSL
11. Exit Serial Console Session

Choice:
```

Pressing 8 will disable the NDcPP mode of operation.

3.13. Configure Inactivity Timeout Period

1. Navigate to Administrators > Admin Roles > <Role Name> > Session Options



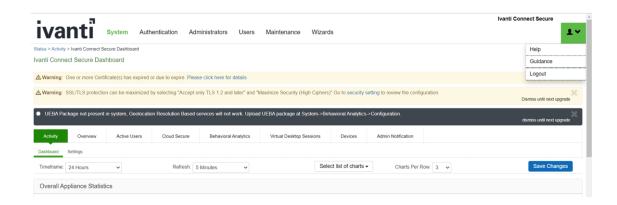
2. Under the 'Session lifetime' section, enter the Idle timeout in minutes.

To log out of the web administrative session, on any screen click on the "Logout" link at the top right of the screen. After the inactivity is triggered, the administrative session will be terminated.

This configured timeout period applies to both remote GUI sessions and the local console sessions.

3.14. Terminating a GUI Session

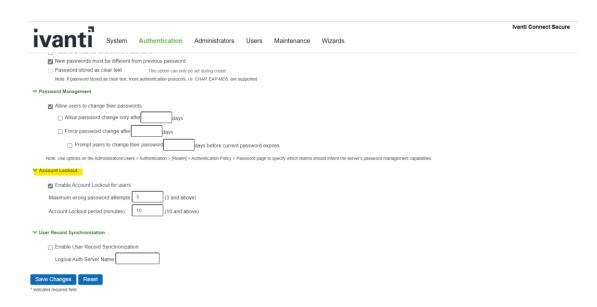
To log out of the web administrative session, on any screen click on the "Logout" link at the top right of the screen.



3.15. Configuring authentication lockout

Authentication failure lockout is configured on the Administrator Web Console. Perform the following,

1. Navigate to Authentication > Auth Servers > Administrators > Settings



On this screen the number of attempts, and the lockout period is specified.

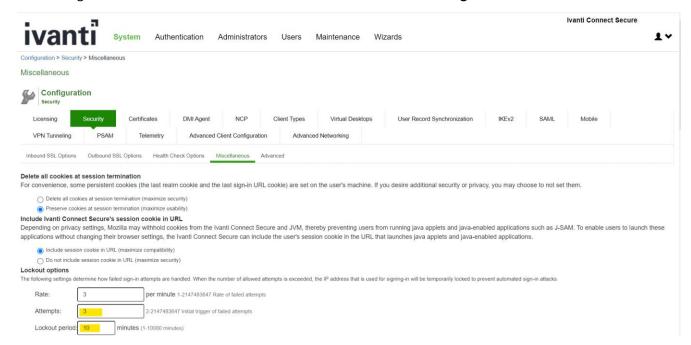
- Maximum wrong password attempts should be configured to be 3 and above (Max 10).
- If the user enters an incorrect password the configured number of times, the user is

locked out they cannot login through any remote interface on the TOE.

- When the lockout time has expired, the administrator is allowed to authenticate to the TOE again.
- Lockouts are not enforced on the TOE's console interface. This ensures that authentication failures cannot lead to a situation where no administrator access is available.

Additionally, the following settings determine how failed sign-in attempts are handled. When the number of allowed attempts is exceeded, the IP address that is used for signing-in will be temporarily locked to prevent automated sign-in attacks.

2. Navigate to Authentication > Auth Servers > Administrators > Settings



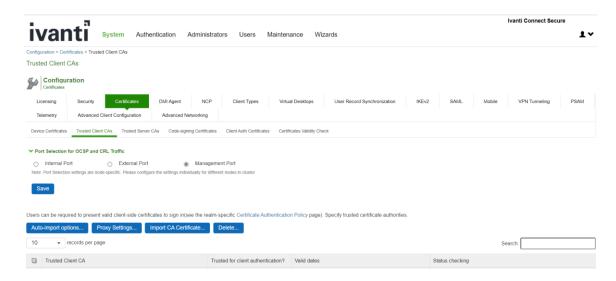
Note: Login attempts, and Lockout period should be same for both the settings.

3.16. Import Trusted Client CA

Trusted Client CA is required to validate the client certificate that is used by the TOE to authenticate to syslog server.

On Administrator Web Console,

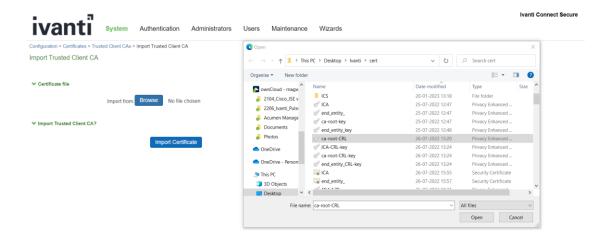
1. Navigate to System > Configuration > Certificates > Trusted Client CAs

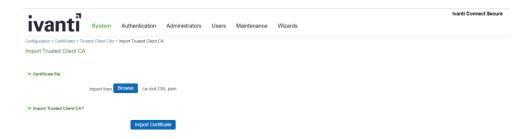


2. Click Import CA Certificates... to import CA or Chain of CAs one by one as explained below in different Screenshots

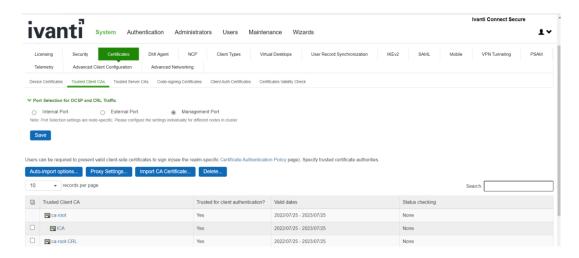


3. Click on Browse and select the certificate, then click on Import Certificate





4. The imported trusted client CA is shown in the Trusted Client CAs table



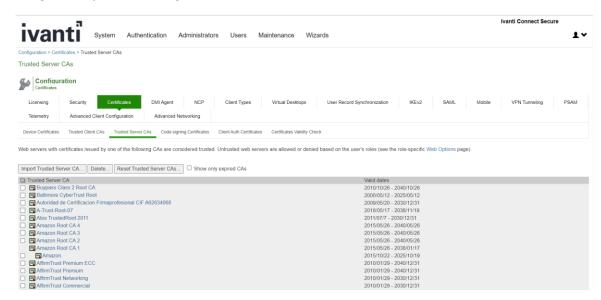
3.17. Import Trusted Server CA

Trusted Server CA is used in two situations:

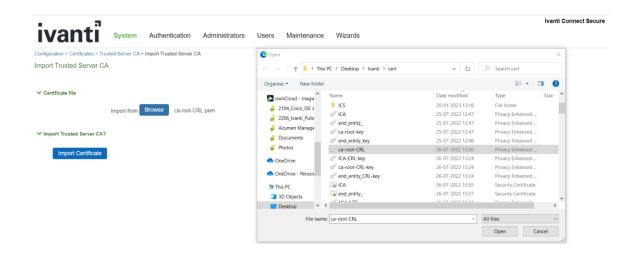
To validate the device certificate that is generated for TLS handshake when a TLS client is connecting to the TOE. To validate the server certificate received in TLS handshake when the TOE connects to syslog server.

On Administrator Web Console,

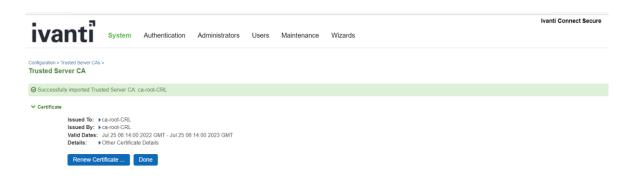
1. Navigate to System > Configuration > Certificates > Trusted Server CAs.



- 2. Click on Import Trusted Server CA...
- On the Import Trusted Server CA screen, click on Browse, import the root CA certificate file

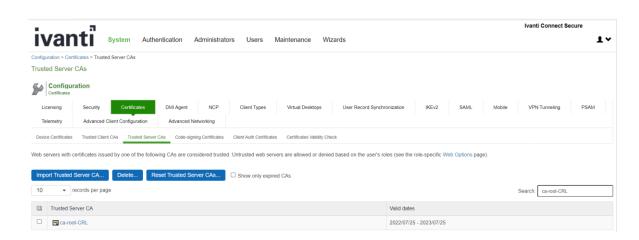


4. Once CA or CA Chain is Imported, click **Done**



Note: To import CA Chain, all Sub CAs must be imported one by one.

 The CA Common Name of the imported trusted server CA should be seen in the Trusted Server CA table on screen System > Configuration > Certificates > Trusted Server CAs.



3.18. Device Certificates

Device certificate needs to be configured for the TOE to use in TLS handshake when a TLS client connects to the TOE.

The TOE supports RSA device certificate and ECC device certificate. If the generated device certificate is RSA, then the following ciphersuite are supported:

- TLS_RSA_WITH_AES_128_CBC_SHA
- TLS RSA WITH AES 256 CBC SHA
- TLS_ECDHE_RSA_WITH_AES_128_CBC_SHA
- TLS_ECDHE_RSA_WITH_AES_256_CBC_SHA
- TLS RSA WITH AES 128 CBC SHA256
- TLS_RSA_WITH_AES_256_CBC_ SHA256

Ivanti Connect Secure v22.2 Common Criteria Configuration Guide

- TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256
- TLS_ECDHE_RSA_WITH_AES_256_GCM_SHA384

Key establishment is not configurable when RSA device certificate is installed. The TOE is capable of negotiating an ECDHE or RSA key establishment.

When the installed device certificate is an ECC certificate, the following ciphersuites are supported:

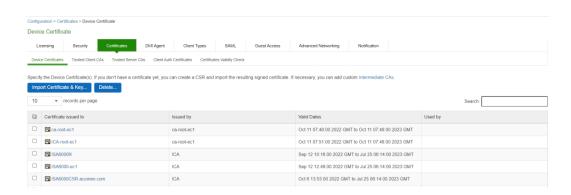
- TLS_ECDHE_ECDSA_WITH_AES_128_CBC_SHA
- TLS ECDHE ECDSA WITH AES 256 CBC SHA
- TLS_ECDHE_ECDSA_WITH_AES_128_CBC_SHA256
- TLS_ECDHE_ECDSA_WITH_AES_256_CBC_SHA384
- TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256
- TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384

Only ECDHE key establishment is used when an ECC device certificate is installed.

3.18.1. Generate RSA or ECC Certificate

On Administrator Web Console,

1. Navigate to System > Configuration > Certificates > Device Certificates



2. Click on New CSR...



3. Fill in CSR fields:

-					
ivanti	System	Authentication	Administrators	Users	Endpoint Policy
Configuration > Certificates > New	Certificate Sign	ing Request			
New Certificate Signing Re	equest				
Use this page to create a new C	ertificate Signi	ng Request (CSR) to s	end to your Certificate	Authority of o	choice.
Common Name: (e.g., secure.company.com)	secure.iv	anti.com			
Organization Name: (e.g., Company Inc.)	acumens	ecurity.pvt.ltd			
Org. Unit Name: (e.g., IT Group)	CC				
Locality: (e.g., SomeCity)	Rockville				
State (fully spelled out): (e.g., California)	Maryland				
Country (2 letter code): (i.e., US)	US]			
Email Address:	test@ivar	nti.com			
Key Type:	● RSA C	ECC			
Key Length:	1024 1024 2048	∨ bits			
Please enter some random or recommend that you enter a			n's random key gen	erator. We	
Random Data: (used for key generation)					
	Create (CSR			

Common Name: The fully qualified domain name (FQDN) for your web server. This must be an exact match. Eg: secure.ivanti.com

Organization Name: The exact legal name of your organization. Do not abbreviate your organization name. E.g.: acumensecurity.pvt.ltd

Org. Unit Name: Section of the organization, can be left empty if this does not apply to your case. E.g.: CC

Locality: The city where your organization is legally located. E.g.: Rockville

State: The state where your organization is legally located. Must not be abbreviated.

E.g.: Maryland

Country: The two-letter ISO abbreviation for your country. E.g.: US

Email Address: The email address used to contact your organization. E.g.: test@ivanti.com

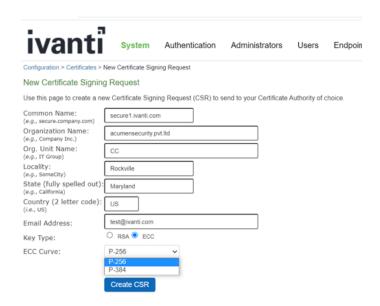
Key Type: Public/Private Key Pair Type.

To generate RSA device certificate, click on RSA radio button, then select 2048 bits or

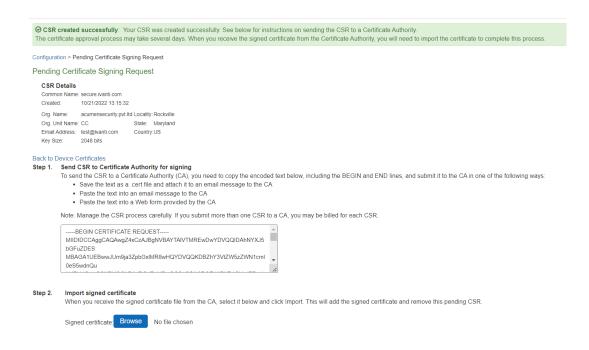
3072 bits as **Key Length.** Optionally, **Random Data** can be entered for generating Key Pair.

To generate ECC device certificate, click on ECC radio button, select P-256 or P- 384 as ECC Curve. Optionally, Random Data can be entered for generating Key Pair.

See below for ECC device certificate request screenshot:



4. Click on Create CSR



5. Copy CSR content shown in the text field. Send CSR to Certificate Authority for signing to generate a certificate.



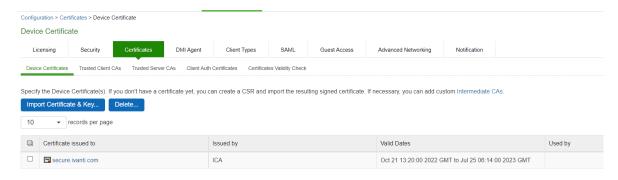
6. Navigate to **System > Configuration > Certificates > Device Certificates** and click on **Pending CSR** link in the table at the bottom of the screen.



7. On the **Pending Certificate Signing Request Page**, in the expanded **Import signed certificate** section, click on **Browse** to select the certificate file.

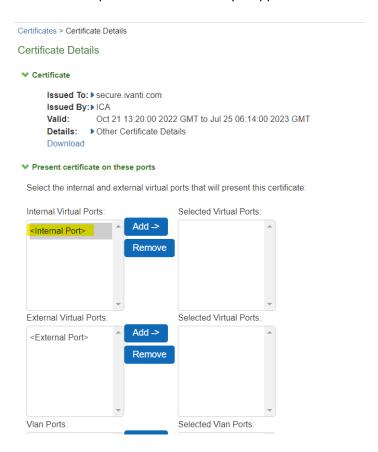


- 8. Click on Import
- 9. The new certificate is shown in System > Configuration > Certificates > Device Certificates

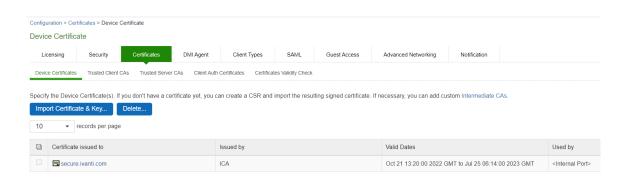


- 10. Click on the certificate name that was created
- 11. The **Certificate Details** screen is shown, in the expanded **Present certificate on these ports** section, select **<Internal Port>** in the left panel that is labelled **Internal Virtual Ports**, click on **Add >** to map it to the new device certificate.

12. If the <Internal Port> is not available in the left panel that is labelled Internal Virtual Ports, then the internal port is already mapped to a different device certificate, please see NOTE on instructions to remove the internal port from the currently mapped device certificate.



13. Click on **Save Changes**, the selected port in step 11 is shown in the **Used by** field for the new certificate.



NOTE: If the internal port is already mapped to a different device certificate, do the following:

a. Click the device certificate that is mapped to the internal port and select <Internal Port> from Selected Virtual Ports box

Certificates > Certificate Details

Certificate Details

Certificate

Issued To: ▶ secure.ivanti.com

Issued By:▶ICA

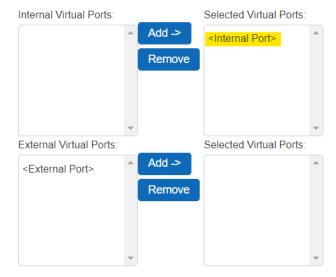
Valid: Oct 21 13:20:00 2022 GMT to Jul 25 06:14:00 2023 GMT

Details: ▶ Other Certificate Details

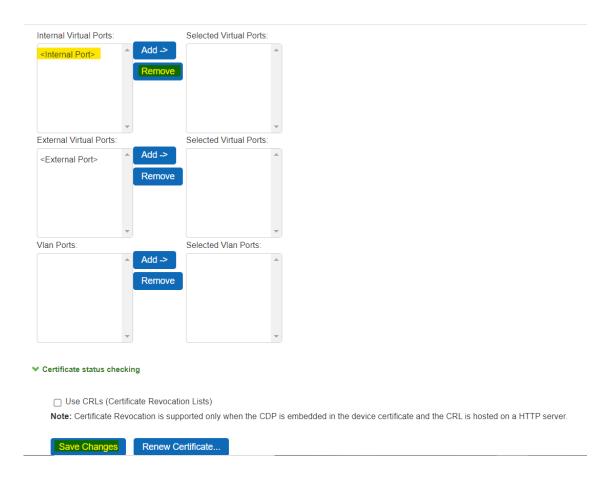
Download

✓ Present certificate on these ports

Select the internal and external virtual ports that will present this certificate:



b. Click on **Remove** to unmap the device certificate from the Internal port and **Save Changes**



3.19. Configure Secure Channel to Syslog Server

The evaluated configuration uses TLS to protect the communications between the TOE and the external audit storage (Syslog) server. To configure the secure channel from the TOE to Syslog Server, the following configuration is required:

- A trusted server CA needs to be imported into the TOE which is used to authenticate the Syslog server. See the section <u>Import Trusted Server CA</u> on importing the trusted server CA for communication with Syslog server.
- A RSA 2048/3072 client auth certificate needs to be imported in order to authenticate to the Syslog server. See the section Import Client Auth Certificate.
- A trusted client CA must be imported to validate the client auth certificate. See the section Import Trusted Client CA for instructions to import a trusted client CA.

If the TLS connection unintentionally broke, TOE automatically reconnects following an exponentially increasing timer. The reconnect timer starts at 15 seconds and doubles after each failed to reconnect

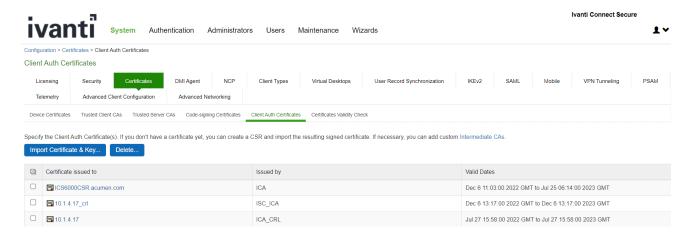
attempt until reaches 15 minutes, and TOE continuously reconnects at 15 minute intervals.

3.20. Import Client Auth Certificate

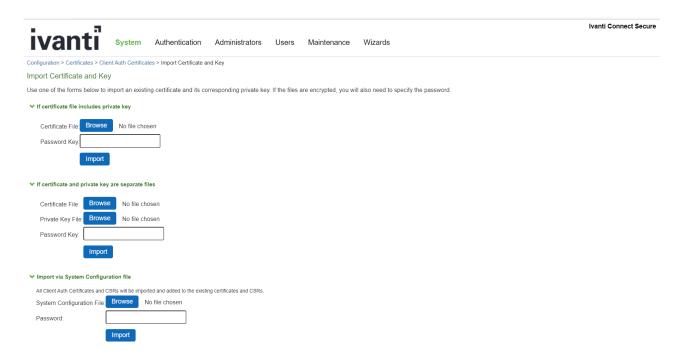
Client auth certificates are used for mutual authentication.

Follow instructions below to import a RSA 2048/3072 Client Auth Certificate into the TOE. On Administrator Web Console,

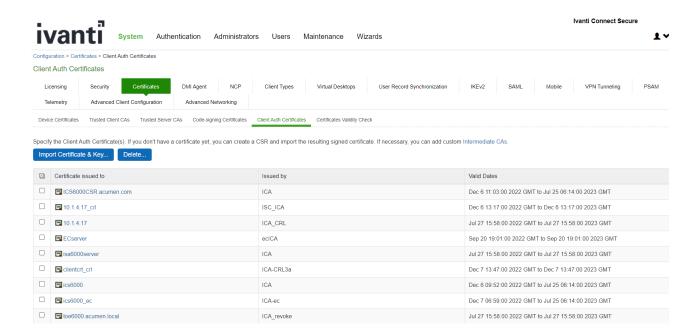
1. Navigate to System > Configuration > Certificates > Client Auth Certificates



- 2. Click on Import Certificate & Key...
- 3. Follow instructions on Import Certificate & Key screen to import the client auth certificate



The imported certificate should be shown in the table in System > Configuration > Certificates
 Client Auth Certificates screen



3.21. Configuring Syslog Server

The Syslog server can be configured for event log, admin access log and User access log.

3.21.1. Configure Syslog Server for Event Log

To configure Syslog server settings for event logs, navigate to **System > Log/Monitoring > Events > Settings**. Configure parameters based on below evaluated settings:

PARAMETER	SELECTION
Maximum Log Size	
Max Log Size	1 MB (up to 500 MB)
Select Events to Log	
Connection Requests	Enable
System Status	Enable
System Errors	Enable
Rewrite	Enable
Statistics	Enable
Performance	Enable
License Protocol Events	Disable
Reverse Proxy	Enable

PARAMETER	SELECTION
Syslog Servers	See Section Configure Syslog Server Parameters

3.21.2. Configure Syslog Server for Admin Access Log

To configure the Syslog server for admin log, navigate to **System > Log/Monitoring > Admin Access > Settings.**

Select the following settings for the Admin Access logging options in the evaluated configuration:

PARAMETER	SELECTION	
Maximum Log Size		
Max Log Size	200 MB (up to 500 MB)	
Select Events to Log		
Administrator changes	Enable	
Administrator logins	Enable	
License changes	Enable	
Syslog Servers	See Section Configure Syslog Server Parameters	

3.21.3. Configure Syslog Server for User Access Log

To configure Syslog server for admin log, navigate to **System > Log/Monitoring > User Access > Settings**

Select the following settings for the Admin Access logging options in the evaluated configuration:

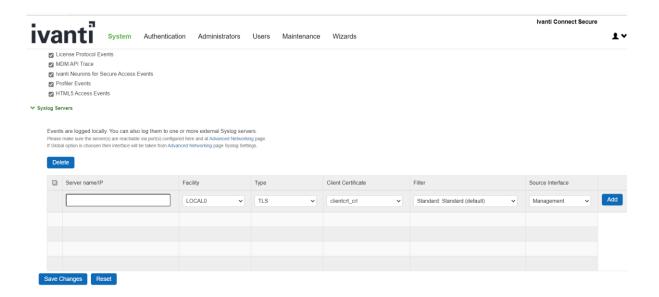
PARAMETER	SELECTION
Maximum Log Size	
Max Log Size	200 MB (up to 500 MB)
Select Events to Log	
Login/logout	Enable
SAM/Java	Disable
User Settings	Enable
Meeting Events	Disable
Client Certificate	Enable
Active Sync Proxy	Disable
IF-MAP Client User	Disable
Messages	
Pulse Client Messages	Disable
HTML5 Access	Disable
Web Requests	Enable
File Requests	Enable
Meeting	Disable
Secure Terminal	Enable

PARAMETER	SELECTION
VPN Tunneling	Enable
SAML	Disable
Syslog Servers	See Section Configure Syslog Server Parameters

3.22. Configure Syslog Server Parameters

In the Syslog Servers expanded section, enter information as stated in table

PARAMETER	SELECTION
Server name/IP	Fully qualified domain name or IP address for the syslog server.
	This should match with the common name of the TLS Syslog server certificate.
Facility	Syslog server facility level (LOCAL0 - LOCAL7).
	Chose the option that is appropriate based on your Syslog configuration.
Туре	TLS
Client Certificate	Select the client auth certificate imported in Import Client
	Auth Certificate to authenticate to the syslog server.
Filter	Standard (Default)



Click on Add and then Save Changes

By default, the TSF allocates 200 MB to local audit storage; however, the administrator can configure the amount of space allocated to local audit storage, up to 500 MB. The TSF divides the local audit

storage between two audit files. The TSF divides the local audit storage between two audit files (active and inactive). When the current audit file reaches capacity; the TSF overwrites the inactive log file (if present). If the inactive log file is not present, then the TOE creates a new log file, switches logging to the new log file, and generates an audit log indicating that a log file reached capacity.

When reached 90% of configured "Max Log Size (MB)", a log message is audited.

The TSF protects audit data from unauthorized modification and deletion though the restrictive administrative interfaces. The filesystem of the TSF is not exposed to the administrative user over the HTTPs GUI or the local CLI. The administrative user must be positively identified and authenticated prior to being allowed to clear the local audit log or change audit settings. Logs are sent to the syslog server in real-time, that is when an audit event is generated, it is simultaneously sent to the external server and stored locally.

The TSF establishes reference identifiers for the remote server as follows:

- When the server is specified using a domain name, the TSF verifies that the domain name
 matches a Subject Alternative Name DNS Name field in the certificate using exact or wildcard
 matching specified in Section 3.1 of RFC 2818. If the certificate does not contain any Subject
 Alternative Name fields, the TSF matches the domain name against the Common Name in the
 certificate.
- When the server is specified using an IP address, the TSF verifies that the IP address exactly
 matches a Subject Alterative Name IP Address field in the certificate using the rules specified in
 Section 3.1 of RFC 2818. If the certificate does not contain any Subject Alternative Name fields,
 the TSF matches the IP address against the Common Name in the certificate.
- When the reference identifier is an IP address, the TOE converts the IP address to a binary representation in network byte order. IPv4 addresses are converted directly from decimal to binary as specified in RFC 3986. The TOE compares the binary IP address against all the IP Address entries in the Subject Alternative Name.
- The TSF does support wildcards but does not support certificate pinning and determines if the
 certificate is valid for the specified server based on the DNS name or IP address of the server.
 Wildcards are supported only at the left-most label of the identifier.

3.23. CRL checking configuration

3.23.1. Understanding CRL

A certificate revocation list (CRL) is a mechanism for canceling a client-side certificate. As the name implies, a CRL is a list of revoked certificates published by a CA or a delegated CRL issuer. The system supports base CRLs, which includes the company's revoked certificates in a single, unified list.

Certificate Security Administration The system determines the correct CRL to use by checking the client's certificate. (When it issues a certificate, the CA includes CRL information for the certificate in

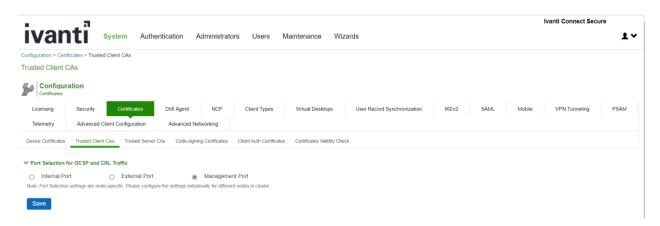
the certificate itself.) To ensure that it receives the most up-to-date CRL information, the system periodically contacts a CRL distribution point to get an updated list of CRLs. A CRL distribution point (CDP) is a location on an LDAP directory server or Web server where a CA publishes CRLs. The system downloads CRL information from the CDP at the interval specified in the CRL, at the interval that you specify during CRL configuration, and when you manually download the CRL. The system also supports CRL partitioning. CRL partitioning enables you to verify portions of very large CRLs without spending the time and bandwidth necessary to access and validate a very large CRL or collection of large CRLs. CRL partitioning is only enabled when you employ the Specify the CDP(s) in the client certificates method (described below). In this case, the system validates the user by verifying only the CRL specified in the client certificate.

Although CAs include CRL information in client-side certificates, they do not always include CDP information as well. A CA can use any of the following methods to notify the system of a certificate's CDP location:

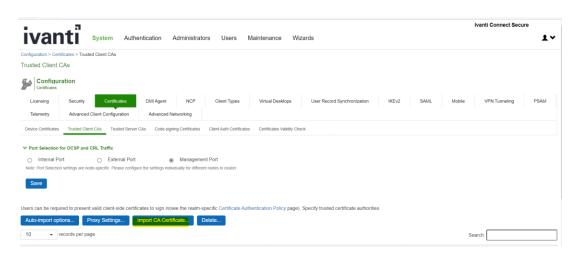
- Specify the CDP(s) in the CA certificate—When the CA issues a CA certificate, it might include an attribute specifying the location of the CDPs that the system should contact. If more than one CDP is specified, the system chooses the first one listed in the certificate and then fails over to subsequent CDPs, if necessary.
- Specify the CDP(s) in the client certificates—When the CA issues a client-side certificate, it might include an
 attribute specifying the location of the CDPs that the system must contact. If more than one CDP is specified, it
 chooses the first one listed in the certificate and then fails over to subsequent CDPs, if necessary. When the
 system employs CRL partitioning and the client certificate specifies only one CRL, it performs verification using
 only that CRL
- Require the administrator to manually enter the CDP location—If the CA does not include the CDP location in
 the client or CA certificates, you must manually specify how to download the entire CRL object. You can specify a
 primary and backup CDP. (Manually entering the CDP location provides the greatest flexibility because you do
 not need to reissue certificates if you change the CDP location.)
- The system compares the user's certificate against the appropriate CRL during authentication. If it determines that the user's certificate is valid, the system caches the certificate attributes and applies them, if necessary, during role and resource policy checks. If it determines that the user's certificate is invalid, if it cannot contact the appropriate CRL, or if the CRL is expired, it denies the user access.
- The system supports only CRLs that are in a PEM or DER format and that are signed by the CA for which the revocations apply.
- The system only saves the first CRL in a PEM file.
- The TOE uses a CRLs to verify whether intermediate CA certificate has been revoked when intermediate certificate is uploaded in TOE's trust store.
- The TOE uses a CRLs to verify whether the leaf certificate has been revoked when a leaf certificate is presented to the TOE as part of the certificate chain during authentication.

3.23.2. Enable CRL checking

1. Navigate to Configuration > Trusted Client CAs and select the port for CRL traffic

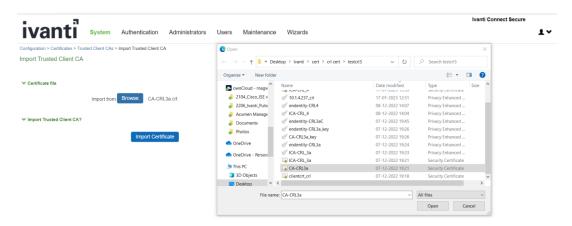


2. Click on Import CA certificate

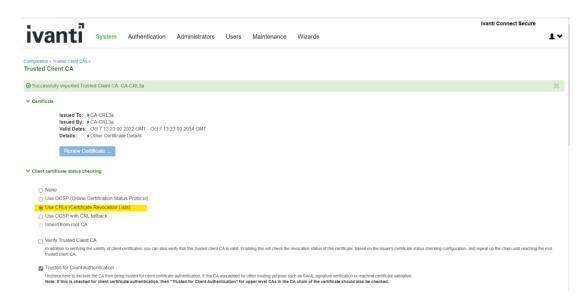


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3. Click on Browse and select the certificate, click on Import certificate

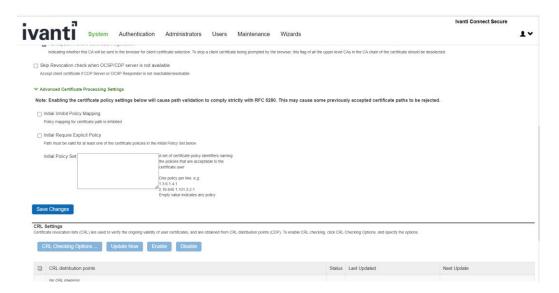


4. Once certificate is imported select Use CRLs(Certificate Revocation Lists)



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5. Click on Save changes



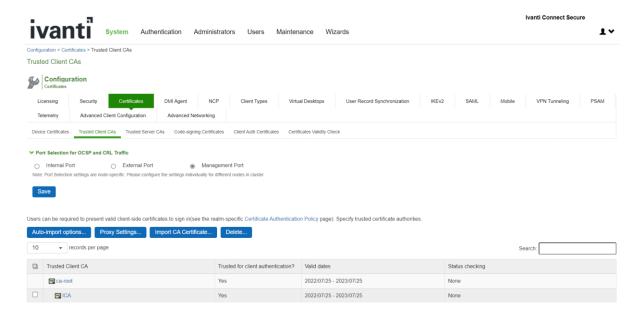
- The certificate is not listed on the CRL. If the TSF has a cached response that has not expired, the TSF uses the cached response in lieu of querying the CRL server.
- If the TSF cannot contact the CRL server or the server does not respond, the TSF logs the failure and considers the certificate valid.

3.24. Removing Cached CRL Entry of CA Chain

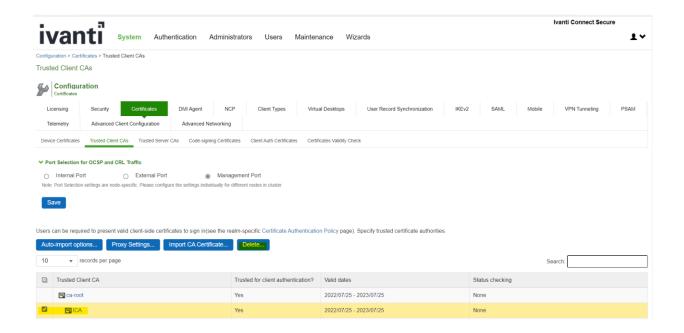
Note: To remove cached CRL entry of CA Chain in Ivanti Connect Secure, follow the sections <u>Delete CA</u> Chain from trusted client CA and <u>Delete CA Chain from trusted server CA</u>

3.25. Delete CA Chain from Trusted Client CA

1. Go to System > Configuration > Certificates > Trusted Client CAs



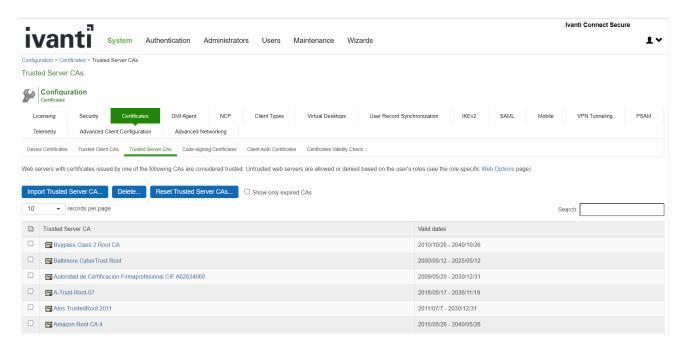
2. Select CA Chain one by one and Click Delete



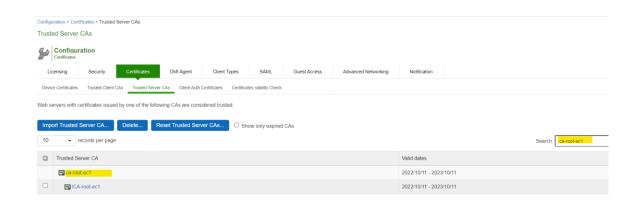
3. Repeat the Step 2 till all the CA Chain is Deleted

3.26. Delete CA Chain from Trusted Server CA

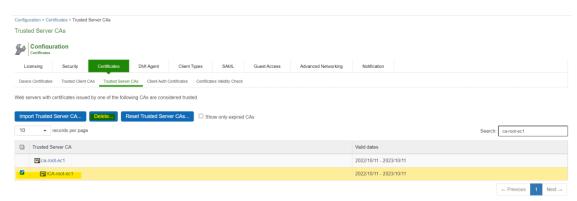
1. Go to System > Configuration > Certificates > Trusted Server CAs



2. Search CA by its Common Name in Search Bar to List the CA which needs to be deleted



3. Select CA Chain one by one and Click Delete



4. Repeat Step 2 and Step 3 till all the CA Chain is Deleted

3.27. Zeroization process

- The HTTPS/TLS Private Host Key and the Syslog/TLS Private Client key are zeroized from the disk when the Security Administrator deletes the key, replaces the key, or zeroizes the entire TOE.
- The TSF zeroizes the HTTPs/TLS Private Host Key and the Syslog/TLS Private Client key on the hard disk drives by overwriting the file location with data from /dev/random three times. Each overwrite calls/dev/random ensuring that a different pseudo random pattern is used each time.
- HTTPS/TLS keys are zeroizedfrom RAM when the HTTP or Syslog process terminates. The TLS Session keys are zeroized from RAM when the associated TLSsession is terminated.
- The DRBG state and all ephemeral keys are zeroized when the TSF is shutdown, suffers loss of power, or
 restarted. The TSF zeroizes keys in RAM by writing zeros to the memory location one time and performing
 a read verify to ensure that the memory location was set to all zeros. If the read verify fails, the TSF
 repeats the zeroization process.
- The above key destruction methods apply to all configurations and circumstances, except one. The only situation where the key destruction may be prevented would be if the system suffers a crash or loss of power. This situation only impacts the keys that are stored on the disk. Since the TOE is inaccessible in this situation, administrative zeroization cannot be performed. However, all keys on the disk are protected because the TOE enables full disk encryption by default.

4. Self-Test

Hardware and software system integrity self-tests execute automatically at system boot-up time. No user intervention is required.

The TSF performs the following hardware self-tests at power-on:

- BIOS checks at power-on
 - Verify boot block checksum.
 - o Verify main BIOS checksum.
 - Check CMOS diagnostic byte to determine if battery power is OK and CMOS checksum is OK.
 - Verify CMOS checksum manually by reading the storage area. If the CMOS checksum is bad, update CMOS with power-on default values and clear passwords.

The BIOS checks and the successful use of the hardware to perform cryptographic operations provide basic assurance that the hardware is working properly.

If any of the tests fail, the TOE does not power up. When this happens, the administrator should shut down the TOE and contact Ivanti connect Secure customer support.

- File integrity check at power-on
 - RSA 2048 SHA-512 digital signature verification of the manifest file. The manifest file contains a list of all executables that are part of the TSF
 - SHA-256 integrity check of each executable file in the TSF using the pre-calculated hashes from the manifest file.

Successful completion of the file integrity check provides assurance that the firmware has not tampered.

If the executable software integrity check fails, the TOE generates a log entry "Failed integrity check" and continues to boot. The administrator should shut down the TOE and contact Ivanti connect Secure customer support.

- Cryptographic library tests
 - HMAC-SHA-256 integrity check of the library
 - HMAC-SHA-1 KAT
 - o HMAC-SHA-256 KAT
 - o HMAC-SHA-384 KAT
 - o AES 128 ECB Encrypt and Decrypt KAT
 - AES 256 GCM Encrypt and Decrypt KAT
 - o RSA 2048 SHA-256 Sign and Verify KAT
 - o ECDSA P-224 SHA-512 Sign and Verify PCT
 - DRBG AES-CTR-256 KAT (invoking the instantiate, reseed, and generate functions)
 - The TSF only tests a single set of parameters for each cryptographic algorithm.

The Cryptographic library test verifies that each cryptographic algorithm specified in FCS_COP.1 requirement is passing a KAT. The KAT demonstrates that algorithm is functioning properly by

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invoking the algorithm with hard coded keys and messages and comparing the result to a precomputed, known to be the correct value. The ECDSA PCT shows that the ECDSA algorithm is functioning properly by signing a known value with a known key and verifying that verifying the computed signature indicates that the signature is valid.

If cryptographic library tests fail, the TSF will not start up, and an error log entry "<u>Unable to set FIPS</u> mode for web server" is generated. When this happens, the administrator should shut down the TOE and contact Ivanti connect Secure customer support.

5. Hash Functions

Hash Functions The TOE supports SHA-1, SHA-256, SHA-384 and SHA-512 hashing functions in TLS, Digital Signature hashing, File integrity check and administrator password obfuscation. Here is a table shows the hash algorithms used in the TOE and their usages.

Hash	Usage
SHA-1	HMAC used in TLS, Hashing for Digital Signatures
SHA-256	HMAC used in TLS, Hashing for Digital Signatures, File integrity checking, Password Obfuscation
SHA-384	HMAC used in TLS, Hashing for Digital Signatures
SHA-512	Hashing for Digital Signatures

- TLS uses the appropriate hash algorithm is selected based on TLS protocol definition. Administrator configuration is not required.
- Hashing for Digital Signatures uses the appropriate hash function based on the attribute configured in digital signatures. Administrator configuration is not required.
- File integrity check uses SHA-256 to hash each executable file and compare with a pre-calculated hash. Administrator configuration is not required.
- Administrator password is obfuscated using SHA-256, administrator configuration is not required.
- The TOE comes preconfigured for these sizes and no additional configuration is required.

6. Keyed Hash Cryptographic Operation (Keyed Hash Algorithm)

- The TOE supports keyed-hash message authentication in accordance with a specified cryptographic algorithm HMAC-SHA-1, HMAC-SHA-256, HMAC-SHA-384 and cryptographic key sizes 160-bits, 256-bits, 384- bits, and message digest sizes 160, 256, 384 bits that meet the following: ISO/IEC 9797-2:2011, Section 7 "MAC Algorithm 2".
- The TOE comes preconfigured for these sizes and no additional configuration is required.

7. Sample audit logs

7.1. Audit log records

The Audit log records contain the following information:

- Severity
- Log ID Log ID starts with a three-letter prefix, such as "SYS", "ADM", "AUT", "ERR" and "STS". Depends on the prefix, the log message is stored in one of three log files:
 - o "SYS", "ERR", "STS" log message is stored in event log file
 - o "ADM" log message is stored in admin access log
 - "AUT" log message is stored in user access log
- Message which includes:
 - o Date/time of the event
 - o Node name
 - Source IP address
 - o User ID
 - o Realm and Role information
 - Description of event outcome

These fields are laid out as follows:

Severity – Log ID - year-month-day HH:MM:SS - Node name - [Source IP address] - User ID – User Realm – User Role – Message

e.g.



In this example,

Severity is Info – an informational message

ID is ADM22668 – The ID. This also indicated the type of event in the first 3 letters.

Date and time is 2023-02-10 07:57:51

Node name is ive

Source IP is

192.168.254.203

User is admin

The Realm is Admin Users

Role is Administrators

The log message is Login succeeded for admin/Admin Users from 192.168.254.203 via management port."

<current timestamp> <node name> <IP Address> <user id> <Realm> <Role> <Log Message>

7.2. Audit Data Generation

7.2.1. Start-up and shutdown of the audit functions

Start-up of the audit functions

Minor SYS10306

Minor	SYS10306	2024-02-01 06:58:14 - ive - [127.0.0.1] Root::System()[]] - Starting services: postgresd
Minor	SYS10306	2024-02-01 06:58:13 - ive - [127.0.0.1] Root::System()[]] - Starting services: Logging
Minor	SYS10306	2024-02-01 06:58:13 - ive - [127.0.0.1] Root::System()[]] - Starting services: Debuglog Server
Minor	SYS10306	2024-02-01 06:58:13 - ive - [127.0.0.1] Root::System()[]] - Starting services: Event Service
Minor	SYS10306	2024-02-01 06:58:12 - ive - [127.0.0.1] Root::System()[]] - Starting services: state server

Shutdown of the audit function

Minor SYS10299

Minor	SYS10020	2024-02-01 06:58:12 - ive - [127.0.0.1] Default Network::System()[]] - Changeset: Exceeded maxim
Minor	SYS10299	2024-02-01 06:54:55 - ive - [127.0.0.1] Root::System()[[] - Server shutdown
Info	LIC31565	2024-02-01 06:17:04 - ive - [127.0.0.1] Root::System()[]] - Applying Virtual Appliance license.

7.2.2. Administrative login and logout

Info ADM22668

Info ADM22671

ADM22668 2023-02-10 09:34:58 - ive - [192.168.254.203] admin(Admin Users)[Administrators][] - Login succeeded for admin/Admin Users from 192.168.254.203 via

Info ADM22671 2023-02-10 09:34:14 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][409f1660c9] - Logout from 192.168.254.203

7.2.3. Console access

Administrator login through local serial console successfully

Info ADM31274 <current timestamp> <node name> [127.0.0.1] System()[] []- User <username> logged in successfully through the local console.

Info ADM31274 2022-12-16 09:54:08 - ive - [127.0.0.1] System()[[]] - User 'admin' logged in successfully through the local console

Administrator through local serial console login Failed

Info ADM31275 <current timestamp> <node name> [127.0.0.1] System()[][]- Login attempt from the local console failed for user <username>

Info ADM31275 2022-12-16 09:53:39 - ive - [127.0.0.1] System()[][] - Login attempt from the local console failed for user 'admin'

7.2.4. Changes to TSF data related to configuration changes

Time and date change

Info ADM20647 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- System date modified to Month Day HH:MM:SS Year.

Info ADM20647 2020-05-12 23:00:01 - ive - [192.168.254.203] admin(Admin Users)[Administrators][d9a1f29d20] - System date modified to May 12 23:00:00 2020.

Addition of the certificate to the TOE's Trust store:

Info ADM23053 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

– Added CA Certificate <Cert subject>

Info ADM23053 2023-02-09 08:49:36 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][2813037fd2] - Added CA Certificate 'CN=ca-root-CRL, OU=CC, O=acumen, C=US'

Deleting of the certificate from the TOE's Trust store:

Info ADM23054 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- Removed CA Certificate < Cert subject>

Info ADM23054 2023-02-10 10:05:02 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][2f1857b2f5] - Removed CA Certificate 'ISC_ICA'

7.2.5. Generating/import of, changing, or deleting of cryptographic keys

Info ADM23081 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

Created Certificate Signing Request: Key Size <Size> <Cert subject>

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Info ADM23082

Severity	ID	Message
Info	ADM23082	2023-01-03 13:29:20 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][4fad897fad] - Removed CSR 'test6000.acumen.local'

7.2.6. Resetting passwords

Info ADM20720

<current timestamp> <node name> <IP Address> <user id> <Realm> <Role>
- User Accounts modified. Change password for username <username>

Info ADM20720 2023-02-10 10:35:03 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][d4b7058133] - User Accounts modified. Changed password for username good.

7.3. NDcPP and FIPS mode

NDcPP mode enable

Info ADM31273

<current timestamp> <node name> <IP Address> <user id> <Realm> <Role>
- NDcPP Mode is now turned on. The web server will restart.

Info ADM31273 2023-02-06 13:38:39 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][990ed9261f] - NDcPP Mode is now turned on. The web server will restart.

Info ADM30965

<current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- FIPS Mode is now turned on. The web server will restart.

Info ADM30965 2023-02-06 13:38:39 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][990ed9261f] - FIPS Mode is now turned on. The web server will restart.

Info ADM31346

<current timestamp> <node name> <IP Address> <user id> <Realm> <Role> Changed
outbound custom cipher for Allowed Encryption Strength from '<ciphersuites>' to
'<ciphersuites>'

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NDcPP mode disable

Info ADM31273 <curren

<current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- NDcPP Mode is now turned off. The web server will restart.

ADM31273 2023-02-06 13:31:54 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][990ed9261f] - NDcPP Mode is now turned off. The web server will restart.

Info ADM30965

<current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- FIPS Mode is now turned off. The web server will restart.

Info ADM30965 2023-02-06 13:31:54 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][990ed9261f] - FIPS Mode is now turned off. The web server will restart.

7.4. HTTPS session

Failure to establish a HTTPS Session through GUI

Minor Aut24604 <current timestamp> <node name> [127.0.0.1] System()[]

- SSL negotiation failed while client at source IP <IP> was trying to

connect to <IP>.Reason: 'no shared cipher'

Minor AUT24604 2022-09-21 14:09:21 - ive - [10.1.4.115] System()[][] - SSL negotiation failed while client at source IP '10.1.4.115' was trying to connect to '10.1.4.17'. Reason: 'no shared cipher'

7.5. Access banner configuration logs

Info ADM30467 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- Created new sign-in notification < Notification name>

Info ADM23440 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- Updated the sign-in policy <policy name>



7.6. Session inactivity time configuration log

Info ADM10245 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- SESSION_IDLE_TIMEOUT in Role 'Administrators' is modified from

[Previous time] [Modified time]

ADM10245 2023-01-04 07:17:07 - ive - [192.168.254.203] admin(Admin Users)[Administrators][70c2c5e4ca] - SESSION_IDLE_TIMEOUT in Role 'Administrators' is modified from [7] to [5]

7.7. Successful TLS session

Info SYS31437 <current timestamp> <node name> [127.0.0.1] System()[]

- Successful syslog connection to peer: <Server name/IP>

Info SYS31437 2022-12-21 07:54:40 - ive - [127.0.0.1] System()[][] - Successful syslog connection to peer: '10.1.4.115'

7.8. Failure to establish a TLSC Session

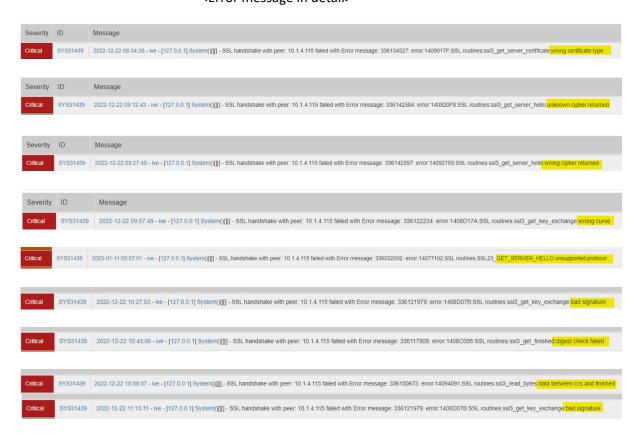
7.8.1. Failure due to Invalid extension

Major SYS31377 <current timestamp> <node name> [127.0.0.1] System()[]
- 'Inbound Server' Certificate <Cert Subject> has invalid extension



7.8.2. Failure due to unsupported certificate type and protocols

Critical SYS31439 <current timestamp> <node name> [127.0.0.1] System()[]
- SSL handshake with peer: <IP> failed with Error message:<error code>
<Error message in detail>



7.8.3. Failure due to CN and SAN

Critical SYS31051 <current timestamp> <node name> [127.0.0.1] System ()[] Syslog TLS validation of server <server name/IP> with Client-

Cert: <cert identifier> failed (err: <error reason>).





7.8.4. Failure due to failed certificate path

Critical SYS31051 <current timestamp> <node name> [127.0.0.1] System ()[] Syslog TLS

validation of server <server name/IP> with Client-

Cert: <cert identifier> failed (err: This is an untrusted server certificate).



7.8.5. Failure due to expired certificate

Critical SYS31051 <current timestamp> < node name> [127.0.0.1] System ()[] Syslog TLS

validation of server <server name/IP> with Client-

Cert: <cert identifier> failed (err: This certificate is expired).



7.9. Failure to establish a TLSS connection

Minor AUT24604 <current timestamp> <node name> [IP] System ()[]- SSL negotiation failed while client at source IP <IP> was trying to connect <IP>. Reason:<Reason for

failure>



7.10. Authentication failure parameters configuration log

Info ADM31782 <current timestamp> < node name> [IP] System ()[]- Update the account lockout period from previous time> minutes



7.11. Unsuccessful login attempts limit is met or exceeded

Minor AUT22675 <current timestamp> <node name> <IP Address> <user id> - Login failed from <IP> after <no. of attempts> failed attempts. Subsequent attempts will be

blocked for <time> minutes



7.12. Successful and unsuccessful login attempts

7.12.1. Remote connection

Info <current timestamp> <node name> <IP Address> <user id> - Login succeeded for ADM22668 <username> Users from <IP> via management port.

2022-12-16 09:50:15 - ive - [192:168:254:203] admin(Admin Users)[Administrators][- Login succeeded for admin/Admin Users from 192:168:254:203 via management port.

Info AUT23458 <current timestamp> <node name> <IP Address> <user id> - Login failed using auth server Administrators (Local Authentication). Reason: <Reason for failure>

2023-01-04 10:41:29 - ive - [192.168.254.203] admin(Admin Users)[.Administrators][] - Login failed using auth server Administrators (Local Authentication). Re

7.12.2. Local connection

Info <current timestamp> <node name> [127.0.0.1] System ()[][] - User <username> ADM31274 logged in successfully through the local console

Info 2022-12-16 09:54:08 - ive - [127.0.0.1] System()[[]] - User 'admin' logged in successfully through the local console ADM31274

<current timestamp> <node name> [127.0.0.1] System ()[][] - Login attempt Info ADM31275 from the local console failed for user <username>

2022-12-16 09:53:39 - ive - [127.0.0.1] System()[[] - Login attempt from the local console failed for user 'admin' Info ADM31275

7.13. Configure/ modify audit behaviour logs

Info ADM20601 <current timestamp> <node name> <IP Address> <user id> - Syslog server

<IP/name> (facility LOCALO, filter standard, type UDP, interface Management)

removed from Admin Access logs

2022-09-07 15-47-45 - ive - [192 168 128.117] acumensec(Admin Users)I. Administrators iec68573cbil - Syslog server 10.1.4 224 (facility LOCALO, filter Standard, type UDP, interface Management) removed from Admin Access logs

Info <current timestamp> <node name> <IP Address> <user id> - Syslog server ADM20600

<IP/name> (facility LOCALO, filter standard, type UDP, interface Management) added for Event logs

Info ADM/20600 2023-01-04 11:03:39 - Ive - [192:168:254:203] acumensec(Admin Users)[Administrators][42b64d9898] - Systog server my systogserv.com (facility LOCALD, filter Standard, type TCP, interface Management) added for Ever

7.14. Unsuccessful attempt to validate a certificate

7.14.1. Certificate revoked

Major SYS31375 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- < Certificate type> < Certificate subject> issued by < Cert issuer> is revoked.

Major SYS31375 2022-12-08 07:25:53 - ive - [192.168.254.203] admin(Admin Users)[Administrators][57f186a082] **Server CA** CN=ICA-CRL3a, OU=CC, O=acumen, C=US** issued by **CN=CA-CRL3a, OU=CC, O=acumen, C=US** is revoked.

7.14.2. Invalid key

Major SYS31513 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>

- <Certificate type> <Certificate subject> issued by <Cert issuer> has invalid key

usage: cRLSign bit is not set

Major SYS31513 2022-12-08 09.17-40 - Ive - [127.0.0.1] System()[[]] - Server CA' Certificate 'CN=ICA-CRL_4, OU=CC, O=acumen, C=US' issued by 'CN=CA-CRL3a, OU=CC, O=acumen, C=US' has invalid key Usage: cRLSign bit is not set.

Major SYS31463 <current timestamp> <node name> [127.0.0.1] System ()[][] -'Inbound Server' Certificate <certificate subject> issued by <Cert issuer> has invalid public key

Info ADM32221 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role> - <Certificate type> <Certificate subject> issued by <Cert issuer> has invalid key

ADM32221 2022-12-07 10:56:13 - Ne - [192:168:228:59] admin(Admin Users)[Administrators][ads8fe101] - 'Trusted Server CA' Certificate' 'CN=iCA-ec, OU=CC, O=acumen, C=US' Issued by 'CN=ca-ec, OU=CC, O=acumen, C=US' has invalid public key.

SYS31463 2022-12-06 10.12.04 - Ive - [127.0.0.1] System()[]] - 'Inbound Server' Certificate 'CN=10.1.4.115, OU=CC, O=acumen, C=US' issued by 'CN=ICA, OU=CC, O=acumen, C=US' has invalid public key

7.14.3. Certificate verification failed

Critical SYS31439 <current timestamp> <node name> [127.0.0.1] System ()[][] - SSL handshake
 with peer <IP> failed with Error message:cerror code> <error message in detail>

Critical SYS31439 2022-12-06 10 04 28 - we - [127.0.0.1] System()[[]] - SSL handshake with peer. 10.1.4.115 failed with Error message: 336134278: error:14090086: SSL routines:ssl3_get_server_certificate verify failed

Critical SYS31439 2022-12-06 08:14:30 - we - [127.0.0.1] System()[[]] - SSL handshake with peer. 10.1.4.115 failed with Error message: 218529960: error:0D0680A8:asn1 encoding routines: ASN1_CHECK_TLEN wrong tag

7.14.4. Basic Constraints failure

Info ADM32228 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role>
Basic Constraints failure <Certificate type>

Info ADM32228 2022-12-07 11:09:45 - ive - [192.168.228.59] admin(Admin Users)[.Administrators][afd88fe101] - Basic Constraints failure 'Server CA'

7.15. CRL check logs

7.15.1. Certificate CRL addition

The log means Certificate CRL was added successfully.

Info ADM31374 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role> - Added CDP <URL> for trusted server CA <certificate>.

ADM31374 2023-02-14 07:19:33 - ive - [192:168:228.46] admin(Admin Users)[Administrators][9f63393d67] - Added CDP 'URL:http://10.1.4.115/CA-CRL3a.der' for Trusted Server CA 'CA-CRL3a

7.15.2. CA CRL download log

This log describes the successful CRL Download from CRL Server for the CA on the TOE.

Info SYS23068 <current timestamp> <node name> [127.0.0.1] System ()[][] - Downloaded

new CRL (size in bytes) from <CA CRL URL>

2022-12-08 06:50:43 - ive - [127.0.0.1] System()[][] - Downloaded new CRL (609 bytes) from 'http://10.1.4.115/CA-CRL3a.der'

7.15.3. CA CRL validation log

This log describes the certificate passed CRL check.

<current timestamp> <node name> <IP Address> - The Info SYS30970

X.509 certificate for <Certificate DN> successfully passed CRL checking

SYS30970 2022-12-08 06 53 18 - Ne - [192:168 254 203] admin(Admin Users)[Administrators][5711663082] - The X:509 centificate for 'CN=ICA-CRL3a, OU=CC, O=acumen, C=US' issued by CN=CA-CRL3a, OU=CC, O=acumen

<current timestamp> <node name> [127.0.0.1] System ()[][] - CRL checking started Info AUT30972

for certificate <Certificate Subject DN> issued by <Issuer Subject

DN>

2023-02-01 14:29:32 - ive - [127.0.0.1] System()[][] - CRL checking started for certificate 'CN=ICA2, OU=CC, O=acumen, C=US' issued by CN=ca-root, OU=CC, O=acumen, C=US

7.16. Initiation of update

7.16.1. Update initiated

Info ADM31438 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role> -Initializing the system software upgrade process.



7.16.2. Update completed successfully

Info SYS20413 <current timestamp> <node name> [127.0.0.1] System()[][] - Started system software version 22.2R3 (build 1369) successfully



7.16.3. Update failed

Major ADM31317 <current timestamp> <node name> <IP Address> <user id> <Realm> <Role> System software upgrade failed. The service package uploaded is not valid.



7.17. Power-on Self-Test

Info SYS10314 <current timestamp> <node name> [127.0.0.1] System()[][] - Server restart



Info SYS10306 <current timestamp> <node name> [127.0.0.1] System()[][] - Starting services: web server



Info SYS30966 <current timestamp> <node name> [127.0.0.1] System()[][] -Web server running in FIPS mode

8. Reference Documents

- Ivanti Secure Operational User Guidance and Preparative Procedures
- Ivanti Connect Secure Administration Guide: https://help.ivanti.com/ps/help/en_US/ICS/22.x/ag/landingpage.htm
- Ivanti Connect Secure Supported Platforms Guide:
 https://help.ivanti.com/ps/help/en US/ICS/22.x/spg/Default.htm
- Ivanti Connect Secure 22.2 Security Target

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